

Seventh Framework Programme (FP7)

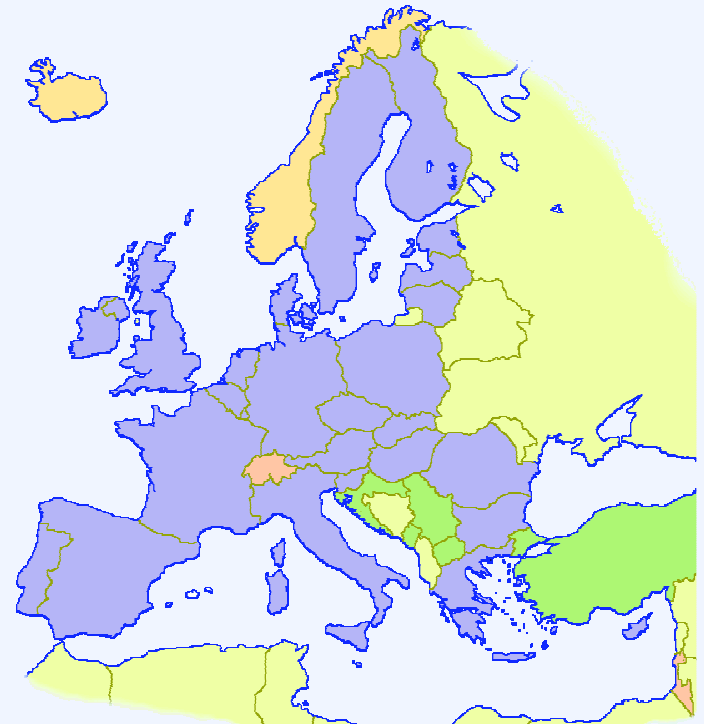
Stephen Alexander

FP7UK

National Contact Point



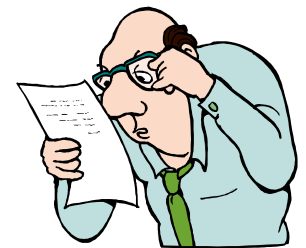
National Contact Points are a Technology Strategy Board Resource to assist UK Business in engaging with EU RTD Funding opportunities



Fundamentals

- ✓ Projects in Topics identified by The Commission's Work Programme
- ✓ Involving Partners from Multiple Countries
 - Usually 3 minimum
- ✓ By means of a Project of a specific type
- ✓ In response to a call for Proposals
- ✓ By means of a Grant Agreement with the European Commission

Sounds simple!!!



Collaboration

- ✓ At least 3 Independent Partners from 3 different member states or associated countries
- ✓ More as required by the project (there is no 'ideal number')
- ✓ Others may be from 'Third Countries' (ICPC-rest of world)

NB For some support measures a single participant is sufficient



Finding partners (or projects)

- ✓ Friends
- ✓ Friend of friends
- ✓ Partner search services:

Cordis Partners

	http://cordis.europa.eu/partners
ICT – Ideal-IST	http://www.ideal-ist.net
NMP – NMPTEAM	http://www.nmpteam.com
Health – FitforHealth	http://www.fitforhealth.eu
Bio – BioNet	http://www.ncp-bio.net/Default.aspx
SMEs – SME Network	http://www.ncp-sme.net/partner-search
SSH – Net4Society	http://net4society.eu/public/pss.php
Transport – ETNA	http://transport-ncps.net
Security – Partnering Platform	http://www.partnering-platform.com

Funding Schemes

- **Collaborative projects (CP)**

to develop new knowledge, new technology, products, demonstration activities

Two types: Small or medium-scale focused research actions (**STREP**), Large-scale integrating projects (**IP**)

- **Networks of Excellence (NoE)**

to strengthen S&T excellence and to increase efficiency in the use of resources by restructuring/integration of capacities

- **Coordination and support actions (CSA)**

aimed at coordinating or supporting research activities and policies (networking, exchanges, coordination of funded projects, studies, conferences, etc)

Two types: Coordination Actions (**CA**),
Specific Support Actions (**SSA**)

Funding principles

- ✓ Commission does not fund 100% of costs
- ✓ Co-funding of projects
 - ✓ Proportion of eligible direct and indirect costs
 - ✓ Based on type of organisation and project
- ✓ Must be no profit
- ✓ Must be actual, economic, recorded in accounts
- ✓ Exclusive of non-eligible costs (VAT, duties, exchange losses *et al*)
- ✓ Based on usual accounting practices
- ✓ Must be used solely to achieve project objectives
- ✓ FP7 is not a notified programme

THEIR RULES!!!

Maximum funding rates

- ✓ For all eligible direct and indirect costs
- ✓ Research and technological activities:
 - Public bodies: – 75%
 - Secondary and higher education establishments: – 75%
 - Research organisations (non-profit): – 75%
 - SMEs: – 75%
 - Large organisations – 50%
- ✓ Demonstration activities: – 50% of eligible costs
- ✓ Other activities: – 100% inc. Management, dissemination, training for example
- ✓ Coordination and support actions – 100% (+7% Indirect)

Reimbursement rates per activity

	RTD	Demo.	Other	Coord.	Support
Network of Excellence (NoE)	50% - 75%		100%		
Collaborative Project (CP)	50% - 75%	50%	100%		
Coordination Action (CA)			100%	100%	
Support Action (SA)			100%		100%

Example calculation

Collaborative project, University partner

	<u>Direct</u>	<u>Indirect*</u>	<u>Rate**</u>
Research elements	100,000	+ 60,000 x 75%	= €120,000
Demonstration	20,000	+ 12,000 x 50%	= €16,000
Management	10,000	+ 6,000 x 100%	= €16,000

CSA

‘Activities’ 100,000 + 7,000 x 100% = €107,000

* **Actual indirect cost or 60% derogation or 20% flat rate**

** Depending on organisation type 50% or 75%

Subcontractors

- ✓ Only for non-core work
- ✓ NOT partners in the Grant Agreement
- ✓ NO IPR rights
- ✓ Can be paid 100% of costs
- ✓ Competitive tendering must be used
- ✓ Can't apply your overhead cost
- ✓ Partners cannot subcontract to other partners
- ✓ Conditions of Grant Agreement applicable re confidentiality, payments, audits etc.
- ✓ 'Grey area' over subcontract/small service contract

Other points

- ✓ Durable equipment
 - ✓ Proportion used for project
- ✓ In-house Consultants
 - ✓ Can work on or off premises
 - ✓ Cost not significantly different to internal staff
 - ✓ Must work under instruction of beneficiary
- ✓ Receipts to the project
 - ✓ Contributions in-kind have a value, must be included as receipts and may reduce EU contribution

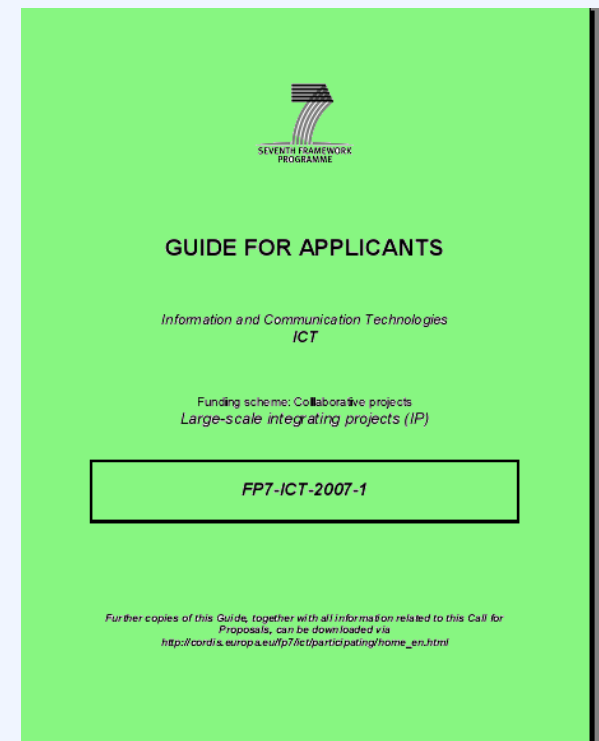
How to get involved?

- ✓ Consider the business case for participation
- ✓ Identify the likely 'home' for you project
 - ✓ Look at FP7 'Themes'
 - ✓ Examine Workprogramme topics
 - ✓ Identify a suitable Call for proposals
- ✓ Think about your role (Coordinator, partner...)
- ✓ Identify partners
- ✓ Build a proposal (or join one)!

A proposal

- All of the information you need is on the EU FP7 'Cordis' website
- 'Guide for Applicants'
 - Get the one for your Funding Scheme
 - Describes the Funding Scheme
 - Lists the funded countries
 - Glossary
 - Describes the proposal in detail
 - Describes submission
 - Checklist – USE IT!

Read The Fine Manual



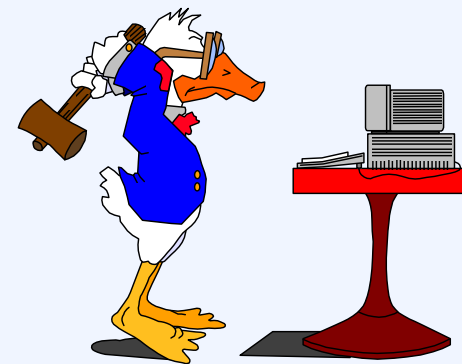
Submission

- Electronic Submission only!
- EPSS – Electronic Proposal Submission System

When the Call closes

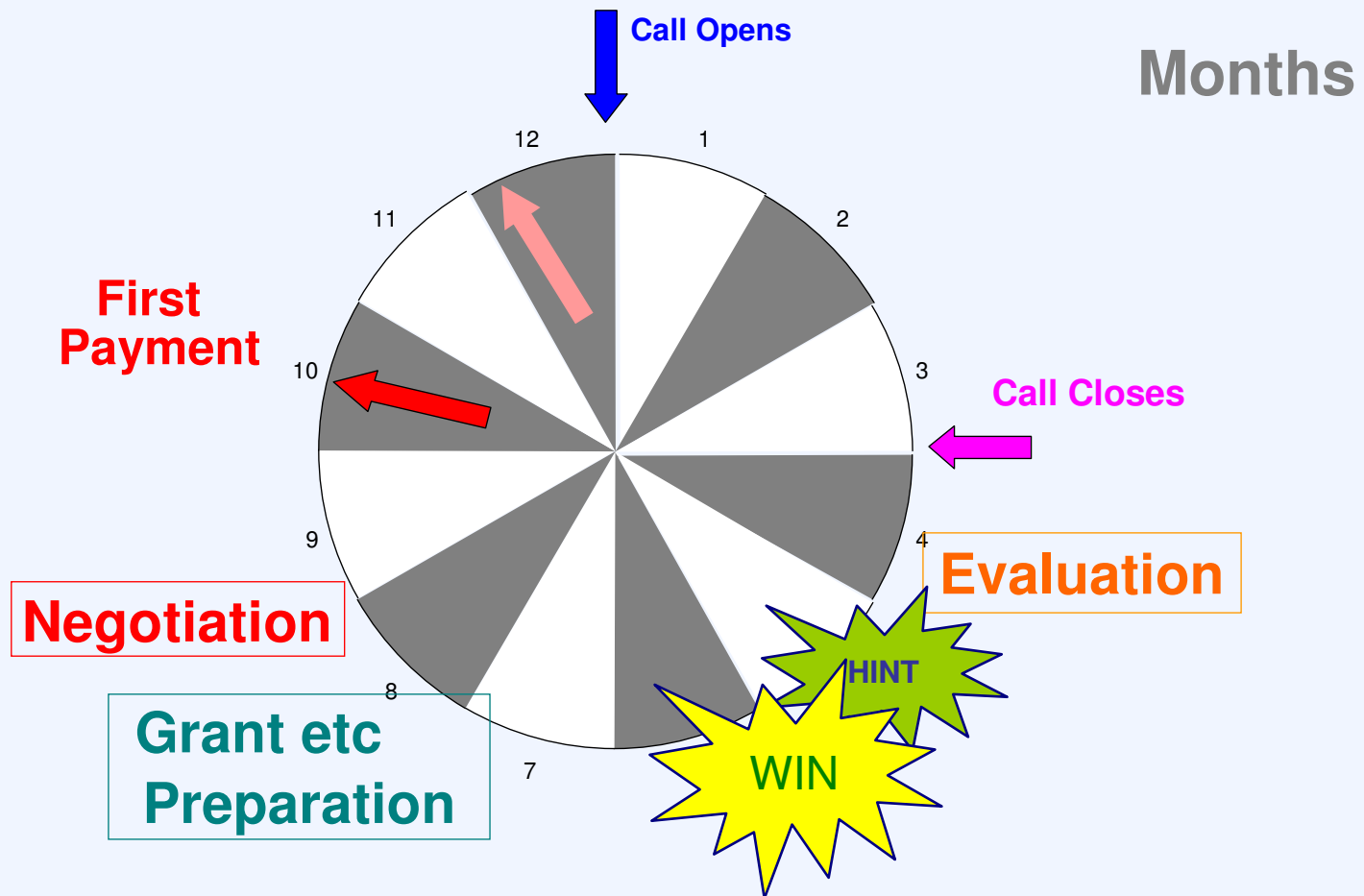
At 17:00 hrs (Brussels Local Time)

- The **SUBMIT** button 'goes away'

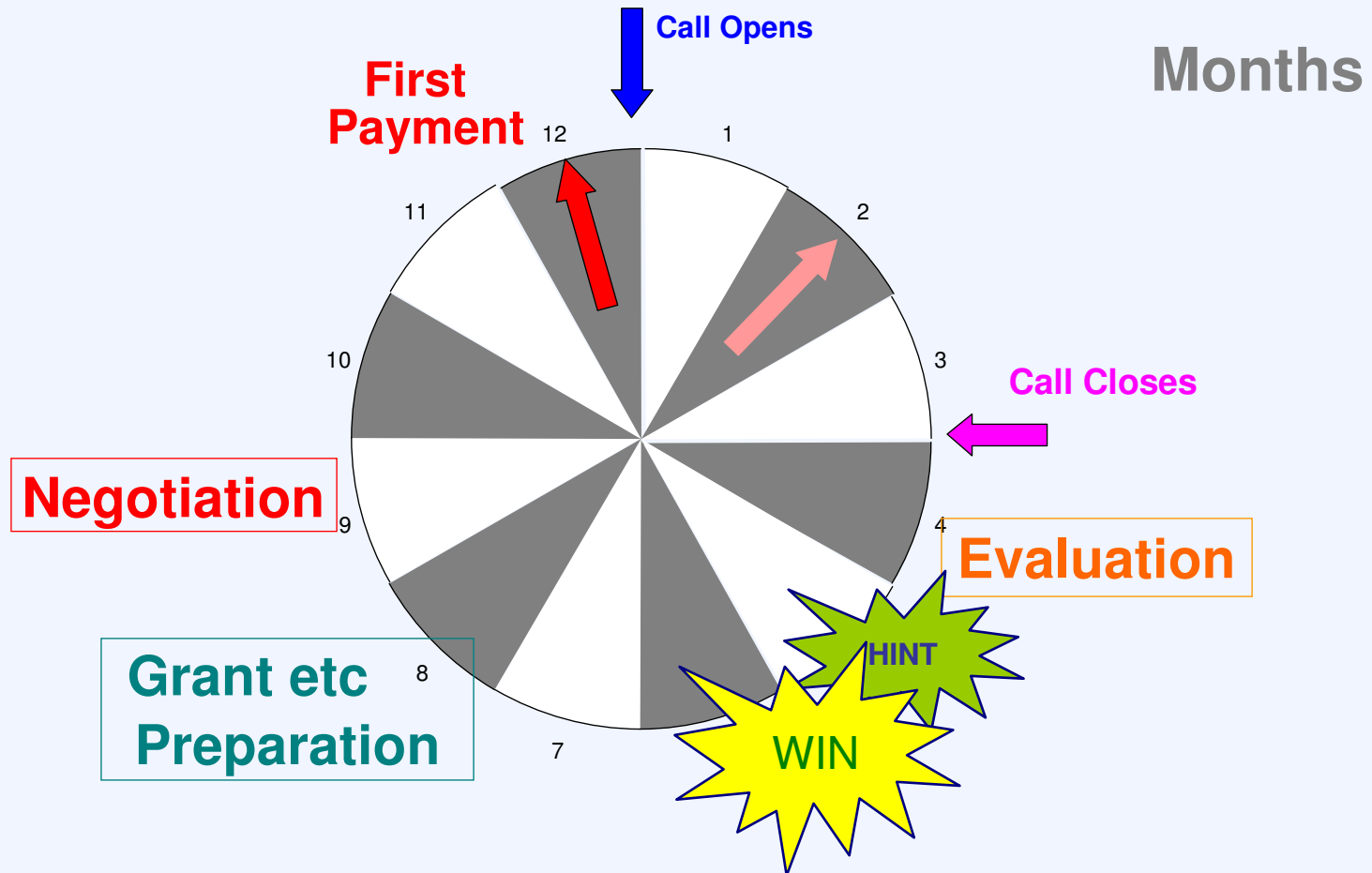


Don't leave it to the last minute

Timing



Timing



Evaluation and selection principles in FP7

•Proposals are evaluated by independent experts:

1. Scientific and technical quality

- Soundness of concept, novelty, quality of objectives
- Quality and effectiveness of methodology

2. Implementation

- Management, quality and experience of participants and the consortium as a whole
- Workplan, adequacy and use of resources

3. Impact

- Contribution (European or international) impacts listed
- Appropriateness of measures for dissemination / use

General Case

SCORING: 0 to 5 half marks allowed

THRESHOLDS: Must score > 3/5 for each and > 10 Overall

Results **(FP7 ICT Call 1)**

Failure:

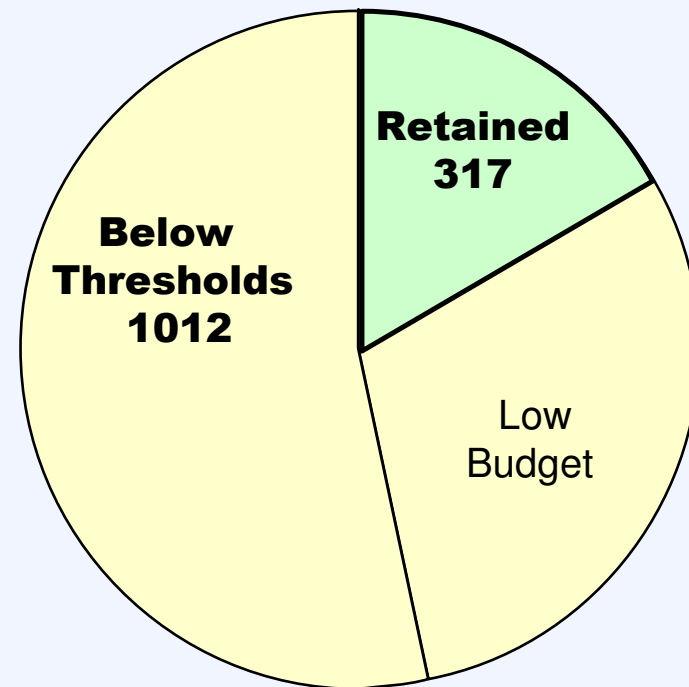
Ineligible

Low Quality

Low Budget

Success:

Retained for negotiation



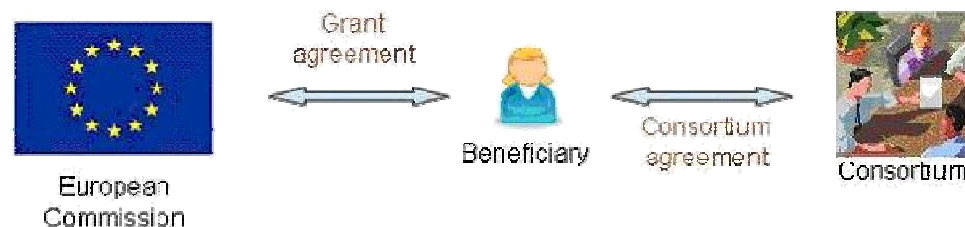
Consortium agreement

What

- ✓ A private agreement between partners in a consortium
- ✓ To complement the grant agreement
- ✓ To protect the interests of the partners
- ✓ To regulate issues on delivery of work, IPR, distribution of finances, liabilities, conflict resolution, new partners etc.
- ✓ N.B. – it must not be in conflict with the Grant Agreement

When

- ✓ Before signature of the Grant Agreement
- ✓ CA is compulsory, but not checked by the Commission



IPR rights

The granting of access rights is usually done using a specific agreement where the beneficiaries define the exact rights to be transferred, the purposes for which the access rights may be used, confidentiality and other obligations, and royalties.

The grant agreement establishes the economic conditions for the granting of access rights, according to the nature of the information (background or foreground) and the purpose for which it is requested. Beneficiaries may further define it in their consortium agreement, where allowed.

ACCESS RIGHTS	For project execution purposes	For use purposes (exploitation, further research)
To background	Royalty-free, unless otherwise agreed <u>before</u> signature of the grant agreement	Royalty-free or on fair and reasonable conditions
To foreground	Royalty-free	

www.ipr-helpdesk.org



Find your way around <http://cordis.europa.eu/fp7>

The screenshot shows the European Commission CORDIS website for the Seventh Framework Programme (FP7). The browser window title is "European Commission: CORDIS: FP7 : Home - Microsoft Internet Explorer provided by 'TUV NEL'". The address bar shows "http://cordis.europa.eu/fp7/home_en.html".

The website layout includes:

- Header:** European Commission logo and "CORDIS" text.
- Navigation:** Home, News, Funding, Results, Partners, Go local.
- Main Content:**
 - Search:** Search all CORDIS.
 - Grid:** Cooperation (red), Ideas (orange), People (purple), Capacities (pink), Euratom (green), JRC (blue).
 - User Selection:** "What type of user are you?" with options: Private company, Public organisation, Individual researchers, Outside the EU.
 - News highlights:** "Launch of EU-funded 'ecancerHub' website" (Date: 2011-08-03).
 - Forthcoming Events:**
 - 'Youth and social inclusion', Brussels, Belgium (2011-11-17)
 - 'Disaggregating Chinese perceptions of the EU and the implications for the EU's China policy', Beijing, China (2011-10-29)
 - Final conference of the IKNOW project, Brussels, Belgium (2011-10-27)
 - 'Economic governance in Europe and the world: immediate policy issues', Brussels, Belgium (2011-10-11)
 - 'Global Europe conference', Brussels, Belgium (2011-10-07)
 - Final conference of the SELUSI project, Brussels, Belgium (2011-10-07)
- Footer:** Windows taskbar showing "Start", "European Com...", "Inbox - Microsoft...", "L&F_Belfast_3Nov...", "Legal & Financial", "Desktop", "My Documents", and the time "18:23".

Advice and Assistance in the UK

FP7UK National Contact Points:

Support Line: 0300 321 4357

eMail: support@innovateuk.org

Website: <https://ktn.innovateuk.org/web/fp7uk>

